

Rev. 10/97

PATENTS

Modified PTO 1083
For A Small Entity

Attorney Docket No. DYAX/004



Applicant : Robert C. Ladner
Application No. : 10/026,925 Confirmation No.: 6828
Filed : December 18, 2001
For : FOCUSED LIBRARIES OF GENETIC PACKAGES
Group Art Unit : 1632

Hon. Commissioner
for Patents
Washington, D.C. 20231

New York, New York
May 28, 2002

TRANSMITTAL LETTER

Sir:

Transmitted herewith: ☒ a Preliminary Amendment;
☐ a Letter to Official Draftsperson with 5 Sheets of Drawings;
☐ a Response to Examiner's Action; ☐ a Supplemental Amendment;
☐ a substitute Specification; ☐ a Declaration; ☐ a Supplemental
Declaration; ☐ a Power of Attorney; ☐ an Associate Power of Attorney;
☐ Submission of Formal Drawings; and ☒ a Response to Notice to File
Missing Parts of Nonprovisional Application*; to be filed in the above-
identified patent application.

* Response includes (1) A copy of the January 28, 2002 Notice to File Missing Parts of Nonprovisional Application; (2) Executed Declaration and Power of Attorney; (3) Hard copy of the Sequence Listing (17 pages), and a diskette containing the Sequence Listing; (4) Statement to Support Filing and Submission in Accordance with 37 C.F.R. §§ 1.821-1.825; (5) Petition for Extension of Time (in duplicate); and (6) Three (3) checks in the amounts of (i) \$65.00 surcharge for late filing of the Declaration; (ii) \$1,383.00 for filing fee; and (iii) \$200.00 for a two-month extension of time.

Express Mail Label
No. EV045435909US

FEE FOR ADDITIONAL CLAIMS

☒ A fee for additional claims is not required.

☐ A fee for additional claims is required.

The additional fee has been calculated as shown below:

	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR		PRESENT EXTRA	RATE	ADD'L FEE DUE
TOTAL CLAIMS	47	-	47	=	0	x \$ 9 =	\$ 0.00
INDEPENDENT CLAIMS	18	-	18	=	0	x \$42 =	\$ 0.00
FIRST PRESENTATION OF A MULTIPLE DEPENDENT CLAIM						+ \$140 =	\$ 0.00
						TOTAL	\$ 0.00

☐ A check in the amount of \$_____ in payment of the fee for additional claims is transmitted herewith.

☒ The Director is hereby authorized to charge payment of any additional fees required under 37 C.F.R. § 1.16 in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

☐ Please charge \$_____ to Deposit Account No. 06-1075 in payment of the fee for additional claims. A duplicate copy of this transmittal letter is transmitted herewith.

EXTENSION FEE


☒ The following extension fee is applicable to the Response filed herewith: ☐ \$55.00 extension fee for response within first month pursuant to 37 C.F.R. § 1.136(a); ☒ \$200.00 extension fee for response within second month pursuant to 37 C.F.R. § 1.136(a); ☐ \$460.00 extension fee for response within third month pursuant to 37 C.F.R. § 1.136(a); ☐ \$720.00 extension fee for response within fourth month pursuant to 37 C.F.R. § 1.136(a); ☐ \$980.00 extension fee for response within fifth month pursuant to 37 C.F.R. § 1.136(a);

☒ A check in the amount of ☐ \$55.00 ☒ \$200.00 ☐ \$460.00 ☐ \$720.00 ☐ \$980.00 in payment of the extension fee is transmitted herewith.

☒ The Director is hereby authorized to charge payment of any additional extension fees required under 37 C.F.R. § 1.17 in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

☐ Please charge the ☐ \$55.00 ☐ \$200.00 ☐ \$460.00 ☐ \$720.00
☐ \$980.00 extension fee to Deposit Account No. 06-1075. A
duplicate copy of this transmittal letter is transmitted herewith.

Respectfully submitted,



James F. Haley, Jr. (27,794)
Attorney for Applicant
Bhavana Joneja (47,689)
Agent for Applicant
Customer No. 1473
Fish & Neave
1251 Avenue of the Americas
New York, New York 10020-1104
Tel.: (212) 596-9000

05-31-02

MP
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PATENTS
DYAX/004



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicant : Robert C. Ladner
Application No. : 10/026,925 Confirmation No.: 6828
Filed : December 18, 2001
For : FOCUSED LIBRARIES OF GENETIC PACKAGES
Group Art Unit : 1632

BOX MISSING PARTS

Hon. Commissioner for Patents
P.O. Box 2327
Arlington, VA 22202

New York, NY 10020
May 28, 2002

EXPRESS MAIL CERTIFICATION

Express Mail Label No. EV 045435909US
Date of Deposit: May 28, 2002


I hereby certify that this certification and the following papers and fees:

1. Preliminary amendment;
2. Response to Notice to File Missing Parts of Nonprovisional Application (in duplicate);
3. A copy of the January 28, 2002 Notice to File Missing Parts of Nonprovisional Application;
4. Executed Declaration and Power of Attorney;
5. Hard copy of the Sequence Listing (47 pages), and a diskette containing the Sequence Listing;
6. Statement to Support Filing and Submission in Accordance with 37 C.F.R. §§ 1.821-1.825;
7. Petition for Extension of Time (in duplicate);
8. Transmittal Letter (in duplicate); and
9. Three (3) checks in the amounts of
(i) \$65.00 surcharge for late filing of the Declaration; (ii) \$1,383.00 for filing fee; and
(iii) \$200.00 for a two-month extension of time;

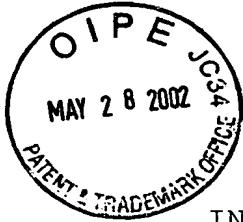
are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the

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date indicated above and are addressed to BOX MISSING PARTS,
Commissioner for Patents, P.O. Box 2327, Arlington, VA 22202.


Name: William Bailey

Express Mail Label: EV 045435909 US



PATENTS
DYAX/004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Robert C. Ladner
Application No.: 10/026,925 Confirmation No.: 6828
Filed : December 18, 2001
For : FOCUSED LIBRARIES OF GENETIC PACKAGES
Group Art Unit : 1632

BOX MISSING PARTS
Hon. Commissioner for Patents New York, NY 10020
P.O. Box 2327 May 28, 2002
Arlington, VA 22202

RESPONSE TO NOTICE TO FILE MISSING
PARTS OF NONPROVISIONAL APPLICATION

Sir:

Responsive to the Notice to File Missing Parts
of Nonprovisional Application mailed January 28, 2002,
applicant hereby submits the following:

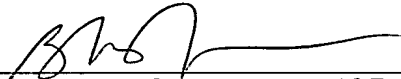
1. A copy of the January 28, 2002 Notice to File Missing Parts of Nonprovisional Application;
2. Executed Declaration and Power of Attorney;
3. Hard copy of the Sequence Listing (47 pages), and a diskette containing the Sequence Listing;
4. Statement to Support Filing and Submission in Accordance with 37 C.F.R. §§ 1.821-1.825;
5. Petition for Extension of Time (in duplicate); and

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No. EV045435909US

6. Three (3) checks in the amounts of
(i) \$65.00 surcharge for late filing of
the Declaration; (ii) \$1,383.00 for filing
fee; and (iii) \$200.00 for a two-month
extension of time.

Please credit any overpayment or charge any
underpayment in connection with this Response to Deposit
Account No. 06-1075. A duplicate copy of this paper is
enclosed.

Respectfully submitted,



James F. Haley, Jr. (27,794)
Attorney for Applicant
Bhavana Joneja (47,689)
Agent for Applicant
Customer No. 1473
FISH & NEAVE
1251 Avenue of the Americas
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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/026,925	12/18/2001	Robert C. Ladner	DYAX/004

MAY 28 2002

CONFIRMATION NO. 6828

1473
FISH & NEAVE
1251 AVENUE OF THE AMERICAS
50TH FLOOR
NEW YORK, NY 10020-1105

FORMALITIES LETTER



OC000000007377310

Date Mailed: 01/28/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

06/03/2002 TBESHAH1 00000087 10026925

01 FC:201	370.00 OP
02 FC:203	243.00 OP
03 FC:202	630.00 OP
04 FC:204	140.00 OP
05 FC:205	65.00 OP

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$1013.
 - \$243 for 27 total claims over 20.
 - \$630 for 15 independent claims over 3 .
 - \$140 for multiple dependent claim surcharge.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1448.**
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000; see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE